



## Review

## Interferon alpha delivery systems for the treatment of hepatitis C

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## ABSTRACT

Hepatitis C virus (HCV) infections are the most common chronic blood-borne viral infections in the world. The prevalence of HCV infections varies significantly by race or ethnicity, with a high prevalence of the disease displayed in the Hispanic population. Additionally, Hispanics with chronic HCV have also more advanced hepatic fibrosis and faster liver fibrosis progression rates than either African Americans or Caucasians. Furthermore, a higher prevalence of cirrhosis and extent of mortality from liver cirrhosis is also observed in the Hispanic population compared with other groups. Current recommendations for treatment of hepatitis C are interferon alpha (IFN $\alpha$ )-based monotherapy and combination of IFN $\alpha$  preparations with ribavirin. Future treatment regimens will still be based on IFN $\alpha$  therapy with or without other effective antiviral agents, currently under investigation. However, there are some inherent limitations, mainly their relative short systemic circulation lifespan, and their unwanted effects on some non-target tissues. New research focuses on the development of novel modified interferon molecules which demonstrate reduced side effects and extended systemic circulation time, which can ultimately provide greater efficacy. Alternative routes for IFN $\alpha$  delivery, such as oral delivery, demonstrate challenging but promising areas of research for improving future patient compliance.

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## 1. Introduction

The hepatitis C virus (HCV) is a common infectious agent, affecting about 170 million individuals worldwide (Fig. 1) (Kim, 2002). In The United States, the National Health and Nutrition Examination Survey (NHANES) conducted from 1999 to 2002 showed that the overall prevalence of anti-HCV was 1.6%, corresponding to 4.1 million persons who have been infected with HCV in the United States, and of those 3.2 million persons were chronically infected with HCV (Armstrong et al., 2006).

HCV is a blood-borne pathogen, which may be transmitted through parenteral exposure to contaminated blood or body fluids (Scannell et al., 2002). Factors most strongly associated with HCV infection are blood transfusion (56%), intravenous drug abuse (31%), and alcohol consumption (44%) (Barazani et al., 2007; Scannell et al., 2002). The Centers for Disease Control and Prevention (CDC) indicated other risk factors include use of inadequately sterilized medical equipment, high-risk sexual behaviors, and social or cultural practices such as body piercing, circumcision, and tattooing. Perinatal transmission occurs but is not very efficient, except in mothers with a HIV co-infection. Although HCV can be recovered from the saliva of infected persons, casual contact has not been associated with transmission (Alter et al., 1998).

CDC reported that there were approximately 19,000 new cases of HCV infections in the United States in 2006, and of those up to 85% developed a chronic hepatitis C infection (Wasley et al., 2008). A chronic case can progress to cirrhosis, end-stage liver disease, and hepatocellular carcinoma (Durand, 2002; El-Serag, 2002; Nguyen and Ho, 2001). The majority of chronic cases are associated with liver inflammation and slow progression of hepatic fibrosis. This progressive course leads ultimately to cirrhosis in as many as 20% of patients, although it may take as long as 20–25 years from the time of infection (Strader and Seeff, 1996). It also has been estimated that, of patients with cirrhosis approximately 1–4% will develop hepatocellular carcinoma each year (El-Serag, 2002). In addition, cirrhosis resulting from chronic hepatitis C is the leading cause of liver transplantation in the United States (Rosen, 2002). CDC estimated that 8000–10,000 chronic liver disease deaths associated with HCV occur in the United States each year, and although the number of new cases of HCV infection per year in the United States is thought to be declining, HCV-associated morbidity and mortality have been predicted to increase substantially in the near future owing to the often prolonged period of time between infection and clinical disease (Wasley et al., 2008).

The prevalence of hepatitis C is different among ethnic groups, and is more common in African-Americans and Hispanics than in Caucasians (Bonacini et al., 2001). According to the 2002 census of The United States population, Hispanics were reported for 13.3% of population and they are the fastest growing minority group (Ramirez and Patricia de la Cruz, 2003). HCV was reported to be amongst the ten leading causes of death in Hispanics nationally in 2004, chronic liver disease and cirrhosis was ranked as the sixth. Moreover, chronic liver disease was the third most common cause of death in Hispanics in the 45–64 age range for both genders (Murphy, 2000). From the NHANES III study, it was shown that there are approximately 2.7 million Americans with chronic HCV infections and the prevalence of HCV at 2.1% in Hispanics, compared to 1.5% in Caucasians (Alter et al., 1999). Hispanics with chronic HCV have also more advanced hepatic fibrosis and faster liver fibrosis progression rates than either African Americans or Caucasians (Bonacini et al., 2001; Verma et al., 2006). Furthermore, there was a higher prevalence of cirrhosis and greater extent of mortality from liver cirrhosis in Hispanics with chronic HCV compared with Caucasians (Hoyumpa et al., 2002, 2005; Lepe et al., 2006; Yoon and Yi, 2006).

Hepatitis C is caused by the hepatitis C virus, a single-stranded RNA virus that belongs to the *Flaviviridae* family. HCV shows sub-

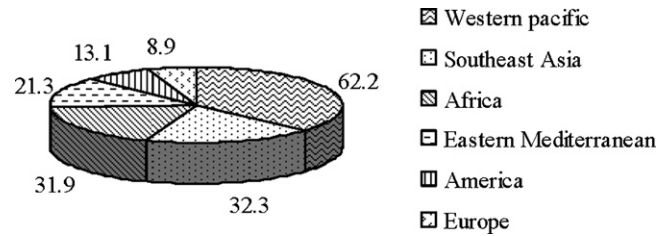


Fig. 1. Worldwide prevalence of hepatitis C in millions (total 169.7 million).

stantial nucleotide sequence diversity distributed throughout the viral genome, so it has provided six major genotypes (Ramadori and Meier, 2001) and now, more than 50 subtypes have been reported with different geographical distributions. Genotypes 1, 2 and 3 are mostly found with a predominance of genotype 1 in The United States and Europe (Blatt et al., 2000; Friedrich-Rust et al., 2007; Nainan et al., 2006). From the NHANES III study, 73.7% of 2.7 million American with chronic hepatitis C were infected with genotype 1 (56.7% with genotype 1a, and 17.0% with genotype 1b) (Alter et al., 1999). Focused on Hispanics, the prevalence of genotype 1 was 71% including genotype 1a at 46% and genotype 1b at 24%. Also, the prevalence of genotype 2/3 and 3a were 29% and 10%, respectively (Hoyumpa et al., 2002). Genotype 1 is associated with a lower rate of response to treatment (Scannell et al., 2002). Genotype 4 can be found primarily in North Africa and the Middle East, genotype 5 in South Africa, and genotype 6 in Vietnam and Thailand (Friedrich-Rust et al., 2007). HCV genotype is not only related to the likelihood of treatment response but may also determine the duration of treatment.

## 2. Current therapies for hepatitis C

The main goal of treatment of chronic hepatitis C is to eliminate detectable viral RNA from the blood. Lack of detectable HCV RNA from blood 6 months after completing therapy is known as a sustained response. Studies suggest that a sustained response is equated with a very favorable prognosis and that it may be equivalent to a cure. Therefore, sustained virological response (SVR) should be determined by measuring HCV RNA by a sensitive method (qualitative polymerase chain reaction or transcription-mediated amplification) 6 months after completing treatment (Davis, 2002).

Current recommendations for treatment of hepatitis C are interferon alpha (IFN $\alpha$ )-based monotherapy and combination of IFN $\alpha$  therapy with ribavirin, as shown in Table 1 (Ahmed and Keeffe, 1999; Bethesda, 2007; Sweetman, 2008; Tan et al., 2002) and their outcomes (SVR) as shown in Tables 2 and 3.

### 2.1. Monotherapy

Interferon alpha-2a (Roferon<sup>®</sup>A; Hoffmann-La Roche, Inc.), interferon alpha-2b (Intron<sup>®</sup>A; Schering Corp.) and interferon alphacon-1 (Infergen<sup>®</sup>; Amgen, Inc.) are all approved in the United States for the treatment of adults with chronic hepatitis C as single agent. IFN $\alpha$ -2a and IFN $\alpha$ -2b are administered by intramuscular or subcutaneous injection. The starting dose of both IFN $\alpha$  is 3 million units given three times weekly for 24–48 weeks (Bethesda, 2007). Response rates as defined by a sustained non-detectable hepatitis C virus RNA in blood specimen were low as shown in Table 2. The average of SVR rates of IFN $\alpha$ -2b treatment are approximately 6% and 12–19% for 24 and 48 weeks, respectively. A SVR of a genotype 1 infection treated for 24 and 48 weeks is 2% and 7–11%, respectively. For genotypes 2 and 3, SVR has been achieved in 16% (24 weeks) and 28–33% (48 weeks) (Farrell et al., 1998; Lindsay et al., 2001; McHutchison et al., 1998; Poynard et al., 1998). Under the IFN $\alpha$ -2a treatment the mean SVR has been observed in 48 weeks,

**Table 1**  
Current recommended therapy for the initial treatment of chronic hepatitis C in adults.

Drug names (brand names)	Manufacturer	FDA approval	Treatment regimen
<b>Monotherapy</b>			
IFN $\alpha$ -2b (Intron <sup>®</sup> A) IFN $\alpha$ -2a (Roferon <sup>®</sup> A)	Schering Corporation Hoffman-La Roche, Inc.	1991 1996–2007	3 MU, TIW, SC or IM for 48–72 weeks or for up to 96 weeks Initial dose 3–6 MU, TIW, SC or IM for 24 weeks followed by 3 MU, TIW for an additional 24 weeks or 3 MU, TIW, SC or IM for 48 weeks
IFN alfacon-1/Concensus IFN (Interge <sup>®</sup> A)	Amgen, Inc.	1997	9 $\mu$ g TIW, SC for 24 weeks followed by 15 $\mu$ g TIW for up to 48 weeks if necessary
IFN $\alpha$ -n1 (Wellferon <sup>®</sup> ) PEG-IFN $\alpha$ -2b (PEG-Intron <sup>®</sup> ) PEG-IFN $\alpha$ -2a (Pegasys <sup>®</sup> )	GlaxoSmithKline Schering Corporation Hoffman-La Roche, Inc.	N/A <sup>a</sup> 2001 2002	3 or 5 MU, TIW, SC or IM for 48 weeks 0.5 or 1 $\mu$ g/kg QW, SC for 24–48 weeks 180 $\mu$ g QW, SC for up to 48 weeks
<b>Combination therapy</b>			
IFN $\alpha$ -2b + RBV (Rebetron <sup>™</sup> : Intron <sup>®</sup> A + Rebetol <sup>®</sup> )	Schering Corporation	1998	IFN $\alpha$ -2b 3 MU, TIW, SC or IM plus RBV 1000 mg daily for those weighing $\leq$ 75 kg or 1200 mg daily for those weighing >75 kg for 24–48 weeks
IFN $\alpha$ -2a (Roferon <sup>®</sup> A) + RBV	Hoffman-La Roche, Inc.	N/A	IFN $\alpha$ -2a 3–4.5 MU, TIW, SC or IM plus RBV 1000 mg daily for those weighing $\leq$ 75 kg or 1200 mg daily for those weighing >75 kg for 24–48 weeks
PEG-IFN $\alpha$ -2b + RBV (PEG-Intron <sup>®</sup> + Rebetol <sup>®</sup> )	Schering Corporation	2001	PEG-IFN $\alpha$ -2b 1.5 $\mu$ g/kg QW, SC plus RBV 800 mg daily (400 mg BID) for 24–48 weeks
PEG-IFN $\alpha$ -2a + RBV (Pegasys <sup>®</sup> + Copegus <sup>®</sup> )	Hoffman-La Roche, Inc.	2002	PEG-IFN $\alpha$ -2a 180 $\mu$ g QW, SC plus RBV 800–1200 mg daily (HCV genotype 1, 4: 500 mg BID in those weighing <75 kg or 600 mg BID in those weighing $\geq$ 75 kg for 48 weeks, HCV genotype 2, 3: 400 mg BID for 24 weeks)

N/A = not applicable, HCV = hepatitis C virus, RBV = ribavirin, MU = million units, SC = subcutaneous injection, IM = intramuscular injection, PO = oral administration, TIW = three times weekly, QW = once weekly and BID = twice a day.

<sup>a</sup> Not commercially available in the U.S. but commercially available in Canada.

and the SVR of genotype 1 and SVR of genotypes 2 and 3 have been achieved in 19–22%, 13% and 42% (Zeuzem et al., 2000a,b).

For interferon alfacon-1, the recommended dose is 9  $\mu$ g given by subcutaneous injection three times a week for first time treatment and 15  $\mu$ g three times a week for another 6 months for patients who do not respond or relapse (Bethesda, 2007). SVR of interferon alfacon-1 treatment (9  $\mu$ g three times weekly by subcutaneous for 24 weeks) compared with a standard regimen of IFN $\alpha$ -2b (3 million units three times weekly by subcutaneous for 24 weeks) was 12.1

and 11.3%, respectively. In addition, there was also a better response rate for patients with genotype 1 who were treated with interferon alfacon-1 compared with those treated with IFN $\alpha$ -2b (24% versus 15% at end-of-treatment and 8% versus 4% sustained) (Tong et al., 1997).

IFN $\alpha$ -n1 (Wellferon<sup>®</sup>; GlaxoSmithKline) is not commercially available in The United States, but commercially available in Canada. The recommended dose is 3 or 5 million units three times weekly by subcutaneous or intramuscular injection for 48 weeks

**Table 2**  
IFN $\alpha$  monotherapy treatment regimens for hepatitis C and therapeutic outcomes measured as a sustained virological response (SVR).

Monotherapy	Treatment regimen	SVR (%) followed treatment course						Reference
		24 Weeks			48 Weeks			
		Mean	GI	Non-GI	Mean	GI	Non-GI	
IFN $\alpha$ -2b	3 MU, SC, TIW	5.7						Farrell et al. (1998) McHutchison et al. (1998) Poynard et al. (1998) Lindsay et al. (2001)
	3 MU, SC, TIW	6	2	16	13	7	29	
	3 MU, SC, TIW				19	11 <sup>a</sup>	33	
	3 MU, SC, TIW				12	6	28	
IFN $\alpha$ -2a	6 MU, SC, TIW				22	13	42	Zeuzem et al. (2000b) Zeuzem et al. (2000a)
	6MU, SC, TIW for 12 weeks, followed by 3 MU, SC, TIW for 36 weeks				19			
IFN alfacon-1	3 $\mu$ g, SC, TIW	2.6	2	G2=3 G3=7				Tong et al. (1997)
	or 9 $\mu$ g, SC, TIW	12.1	8	G2=21 G3=15				Tong et al. (1997)
IFN $\alpha$ -n1	3 MU, SC, TIW	9.9						Farrell et al. (1998) Garson et al. (1997)
	3 or 5 MU, SC, TIW				42	10.5	63	
PEG-IFN $\alpha$ -2b	0.5 $\mu$ g/kg, SC, QW				18	10	35	Lindsay et al. (2001) Lindsay et al. (2001) Lindsay et al. (2001)
	or 1.0 $\mu$ g/kg, SC, QW				25	14	47	
	or 1.5 $\mu$ g/kg, SC, QW				23	14	49	
PEG-IFN $\alpha$ -2a	180 $\mu$ g, SC, QW				39			Zeuzem et al. (2000a) Heathcote et al. (2000) Heathcote et al. (2000) Reddy et al. (2001) Fried et al. (2002)
	90 $\mu$ g, SC, QW				15	5	29	
	or 180 $\mu$ g, SC, QW				30	12	51	
	180 $\mu$ g, SC, QW				36	31	50	
	180 $\mu$ g, SC, QW				29	21	45	

G1 = genotype 1, non-G1 = non-genotype 1, RBV = ribavirin, MU = million units, SC = subcutaneous injection, PO = oral administration, TIW = three times weekly, QW = once weekly and BID = twice a day.

<sup>a</sup> Genotype 1, 4, 5, or 6.

(Sweetman, 2008). Mean SVR was significantly better for patients treated with IFN $\alpha$ -n1 compared with those treated with IFN $\alpha$ -2b (9.9% versus 5.7%) (Farrell et al., 1998). Moreover, patients infected with HCV non-type 1 genotypes were significantly more likely to achieve SVR than were those infected with HCV genotype 1 (63% versus 10.5%) (Garson et al., 1997).

However, these IFNs alone are rarely used for the treatment of patients with chronic hepatitis C because of their low response rate.

More recently polyethylene glycol interferon alpha (PEG-IFN $\alpha$ ), sometimes called pegylated interferon, has been studied in patients with hepatitis C. PEG-IFN $\alpha$  is an antiviral agent that contains IFN $\alpha$  (recombinant DNA origin) covalently bound to polyethylene glycol (PEG) monomethoxy ether. PEG-IFN $\alpha$  increases the size of the IFN $\alpha$  molecule. The absorption rate is slower, and the overall time it remains in the body increases (prolonged biological half-life). As the rate of IFN $\alpha$  clearance decreases the duration of biological activity also increases compared to unmodified IFN $\alpha$ . As a result the PEG-IFN $\alpha$  has allowed a decrease in the weekly dosing frequency from 3 to 1. Two different PEGylated recombinant IFN- $\alpha$  species, i.e., PEG-IFN $\alpha$ -2a (Pegasys<sup>®</sup>; Hoffmann-La Roche, Inc.) and PEG-IFN $\alpha$ -2b (PEG-Intron<sup>®</sup>; Schering Corp.), are now approved for

the treatment of chronic hepatitis C. While a recommend dose of PEG-IFN $\alpha$ -2b is 0.5–1.0  $\mu$ g per kg body weight, PEG-IFN $\alpha$ -2a is dosed independent from body weight with 180  $\mu$ g as shown in Table 1 (Bethesda, 2007; Sweetman, 2008).

PEG-IFN $\alpha$ -2b is a conjugation of recombinant IFN $\alpha$ -2b with a linear 12 kDa mono-methoxylated PEG (Gilbert and Park-Cho, 1997). The antiviral specific activity for PEG-IFN $\alpha$ -2b was 28%, relative to unconjugated IFN $\alpha$ -2b. After subcutaneous administration, both PEG-IFN $\alpha$ -2b (0.1–2.0  $\mu$ g/kg once weekly) and the IFN $\alpha$ -2b (3 MIU three times weekly) have been shown to be rapidly absorbed (Grace et al., 2001). One compartment modeling of pharmacokinetic data has indicated that mean absorption half-life ( $t_{1/2ka}$ ) for PEG-IFN $\alpha$ -2b was slightly longer than for nonpegylated IFN $\alpha$ -2b (2.3 h versus 4.6 h). However, because of sustained maximal concentrations, time to maximum plasma concentration ( $t_{max}$ ) has been indicated to be longer for PEG-IFN $\alpha$ -2b (range of means was 15–44 h) compared with nonpegylated IFN $\alpha$ -2b (mean of 8 h). After absorption, PEG-IFN $\alpha$ -2b has displayed sustained maximal serum concentrations for 48–72 h after dose administration, followed by a slow elimination phase. In the same study, mean serum nonpegylated IFN $\alpha$ -2b concentrations declined rapidly after reaching peak

**Table 3**  
Combination therapy treatment regimens for hepatitis C and therapeutic outcomes (SVR).

Combination therapy	Treatment regimen	SVR (%) followed treatment course						Reference
		24 Weeks			48 Weeks			
		Mean	G1	Non-G1	Mean	G1	Non-G1	
IFN $\alpha$ -2b + RBV	IFN 3 MU, SC, TIW and RBV PO, BID at a total daily dose of 1000 mg for patients who weighed $\leq$ 75 kg or 1200 mg for those who weighed >75 kg	31	16	69	38	28	66	McHutchison et al. (1998)
	IFN 3 MU, SC, TIW and RBV PO, BID at a total daily dose of 1000 mg (bodyweight <75 kg) or 1200 mg (bodyweight $\geq$ 75 kg) per day	35	18 <sup>a</sup>	64	43	31 <sup>a</sup>	64	Poynard et al. (1998)
	IFN 3 MU, SC, TIW and RBV PO, BID at a total daily dose of 1000 mg for patients who weighed $\leq$ 75 kg or 1200 mg for those who weighed >75 kg per day				44	36	61	Fried et al. (2002)
	IFN 3 MU, SC, TIW and RBV PO, BID at a total daily dose of 1000 mg (bodyweight <75 kg) or 1200 mg (bodyweight >75 kg) per day				47	33	79	Manns et al. (2001)
IFN $\alpha$ -2a + RBV	IFN 3 MU, SC, TIW and RBV PO, BID at a total daily dose of 1000 mg for patients who weighed $\leq$ 75 kg or 1200 mg for those who weighed >75 kg per day				44.6	28.2 <sup>b</sup>	74.4	Mangia et al. (2005)
PEG-IFN $\alpha$ -2b + RBV	PEG-IFN 1.5 $\mu$ g/kg SC, QW and RBV PO, BID at a total daily dose of 800 mg per day or PEG-IFN 1.5 $\mu$ g/kg SC, QW for the first 4 weeks followed by 0.5 $\mu$ g/kg QW for the next 44 weeks and RBV PO, BID at a total daily dose of 1000 mg (bodyweight <75 kg) or 1200 mg (bodyweight $\geq$ 75 kg) per day				54	42	82	Manns et al. (2001)
	PEG-IFN 1.5 $\mu$ g/kg SC, QW and RBV 800–1400 mg/day PO (<65 kg 800 mg; 65–85 kg 1000 mg; >85–105 kg, 1200 mg; >105 kg, 1400 mg).			G3 = 79	47	34	80	Manns et al. (2001)
				G2 = 93				Zeuzem et al. (2004)
PEG-IFN $\alpha$ -2a + RBV	PEG-IFN 180 $\mu$ g SC, QW and RBV PO, BID at a total daily dose of 1000 mg for patients who weighed $\leq$ 75 kg or 1200 mg for those who weighed >75 kg per day				56	46	76	Fried et al. (2002)
	PEG-IFN 180 $\mu$ g SC, QW and RBV PO, BID at a total daily dose of 800 mg per day		29	84		41	79	Hadziyannis et al. (2004)
	PEG-IFN 180 $\mu$ g SC, QW and RBV PO, BID at a total daily dose of 1000 mg (bodyweight <75 kg) or 1200 mg (bodyweight $\geq$ 75 kg) per day		42	81	63	52	80	Hadziyannis et al. (2004)

G1 = Genotype 1, non-G1 = non-genotype 1, RBV = ribavirin, MU = million units, SC = subcutaneous injection, PO = oral administration, TIW = three times weekly, QW = once weekly and BID = twice a day.

<sup>a</sup> Genotype 1, 4, 5, or 6.

<sup>b</sup> Genotype 1, 4.



concentrations with final measurable concentrations at 15 h. Mean apparent volume of distribution was slightly higher for nonpegylated IFN $\alpha$ -2b (~1.4 L/kg) than for PEG-IFN $\alpha$ -2b (0.99 L/kg). Mean PEG-IFN $\alpha$ -2b half-life values ranged from 27.2 to 39.3 h, whereas mean nonpegylated IFN $\alpha$ -2b half-life was 4.3 h. Mean apparent clearance (CL/F) for PEG-IFN $\alpha$ -2b (22 mL/h kg) was approximately one tenth that of nonpegylated IFN $\alpha$ -2b (231 mL/(h kg)). Therefore, PEG-IFN $\alpha$ -2b has shown an improved pharmacokinetic profile, including 10-fold longer elimination half-life, 10-fold decreased mean apparent clearance and sustained maximal serum concentration, compared to nonpegylated IFN $\alpha$ -2b (Glue et al., 2000). In one study SVR rates of chronic hepatitis C patients treated with PEG-IFN $\alpha$ -2b 0.5, 1.0 and 1.5  $\mu$ g/kg were 18, 25 and 23%, respectively. All 3 PEG-IFN $\alpha$ -2b dose groups had significantly higher SVR rates than the IFN $\alpha$ -2b group (12%). Moreover, SVR rates of genotype 1 infected patients with PEG-IFN $\alpha$ -2b treatment in this study were 10, 14 and 14%, for the different doses respectively and these rates were approximately 2-fold higher than seen in the IFN $\alpha$ -2b group (6%). Among genotype 2 or 3 infected patients, SVR rates were achieved in 35, 47 and 49%, for the different doses, respectively, considerably higher than that seen for the nonPEGylated IFN $\alpha$ -2b group which was 28% (Lindsay et al., 2001).

PEG-IFN $\alpha$ -2a is produced by the covalent attachment of recombinant IFN $\alpha$ -2a to a 40 kDa branched-chain PEG moiety (Zeuzem et al., 2000a). Reduced *in vitro* antiviral activity has also been observed with PEG-IFN $\alpha$ -2a compared to unmodified IFN $\alpha$ -2a. PEG-IFN $\alpha$ -2a had a relative antiviral specific activity of only 7% of the starting IFN $\alpha$ -2a (Bailon et al., 2001). However, this PEGylation reaction on IFN $\alpha$ -2a has led to an increased sustained absorption half-life, upon subcutaneous injection, from 2.3 to 50 h, an increased terminal half-life from 3–8 to 65 h, and a decreased clearance from 6.6–29.2 to 0.06–0.10 L/h (about 100-fold) (Harris et al., 2001). Results from randomized clinical trials indicate that PEG-IFN $\alpha$ -2a produces much higher end-of-treatment and SVR than standard IFN $\alpha$  in patients with a chronic hepatitis C virus infection, with or without cirrhosis (Fried et al., 2002; Heathcote et al., 2000; Reddy et al., 2001; Zeuzem et al., 2000a). For example, the SVR rate in patients without cirrhosis was twice as great in patients treated with PEG-IFN $\alpha$ -2a as in those treated with IFN $\alpha$ -2a (39% versus 19%) (Zeuzem et al., 2000a). In addition, the proportion of patients with HCV genotype-1 who had SVR was 31%, whereas SVR rate among the patients with non-1 genotypes was 50% (Reddy et al., 2001).

Patient tolerability of the PEG-IFNs is comparable to the nonpegylated formulations. Monotherapy with these agents produces a better response in some patients than monotherapy with the non-

pegylated formulations (Backer, 2001; Glue et al., 2000; Heathcote et al., 2000; Lindsay et al., 2001; Reddy et al., 2001; Zeuzem et al., 2000a).

## 2.2. Combination therapy

It has been demonstrated that a combination therapy with IFN $\alpha$ -2a or IFN $\alpha$ -2b plus ribavirin for 48 weeks led to a marked improvement of hepatitis C therapy compared to monotherapy with IFN $\alpha$ . The result of IFN $\alpha$ -2b plus ribavirin treatment provided the mean SVR rate of 38–47% and SVR rate for patients with genotype 1 was 28–36% compared to 61–79% for patients with genotype-2 or 3 infections (Fried et al., 2002; Manns et al., 2001; McHutchison et al., 1998; Poynard et al., 1998). Following IFN $\alpha$ -2a plus ribavirin treatment, an SVR was achieved which was approximately 44% in all HCV genotype groups, 28% in the genotype-1 group and 74% in genotype-2 or 3 groups (Mangia et al., 2005). This combination was approved as a first-line therapy for treatment-naïve patients.

Since 2001, the combination of PEG-IFN $\alpha$  and ribavirin has been established as standard therapy for chronic hepatitis C because of higher SVR rates compared with both conventional IFN $\alpha$  plus ribavirin therapy and PEG-IFN $\alpha$  monotherapy in patients with chronic hepatitis C (Shepherd et al., 2004). This combination therapy of PEG-IFN $\alpha$ -2b plus ribavirin and PEG-IFN $\alpha$ -2a plus ribavirin for 48 weeks provided an average SVR rate of 54 and 56%, respectively. The significant differences in SVR were apparent not only in the overall population, but also in those infected with hepatitis C virus genotype-1 and genotypes-2 or 3. SVR rates for patients with genotype-1 infection were 42 and 46%, respectively. Patients with genotype-2 or 3 infection showed high SVR rates of 82 and 76%, respectively (Fried et al., 2002; Manns et al., 2001). Furthermore, for patients with genotype-2 or 3 infections, SVR rates of 81–84% were achieved in patients treated with PEG-IFN $\alpha$ -2b plus ribavirin for 24 weeks. These results were not significantly lower than those of a 48-week therapy (79–80%) (Hadziyannis et al., 2004). Consequently, the 24-week therapy has been established as standard for genotypes-2 and 3 infections (Hadziyannis et al., 2004; Zeuzem et al., 2004). Therefore, the current treatment recommendations are therapy with combination PEG-IFN $\alpha$  (PEG-IFN $\alpha$ -2b, 1.5  $\mu$ g/(kg week) or PEG-IFN $\alpha$ -2a, 180  $\mu$ g/week) plus ribavirin (800–1200 mg/day) for 48 weeks in patients infected with genotype-1 and for 24 weeks in patients infected with genotype-2 and 3 (Bethesda, 2007; Scannell et al., 2002). The incidence of adverse event was similar in recipients of

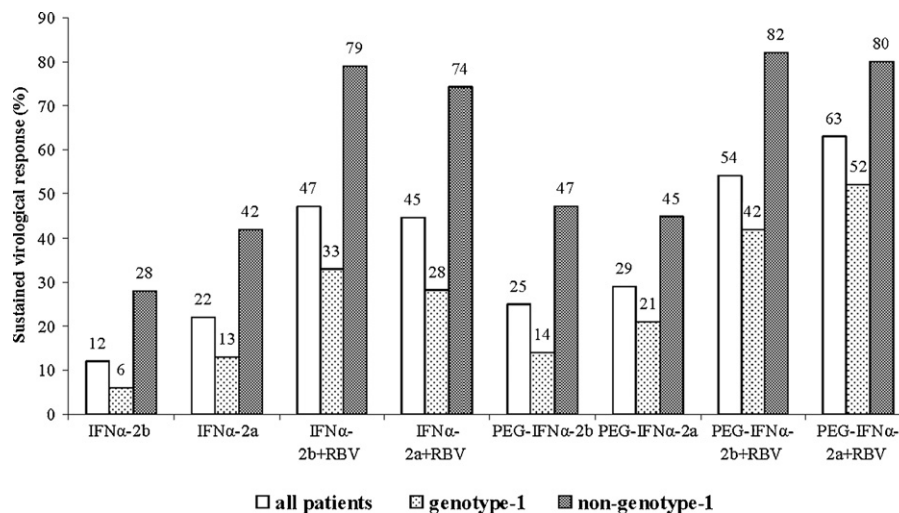


Fig. 2. Sustained virological response rates with treatment (for 48 weeks) and genotype of hepatitis C.

**Table 4**  
Future IFN $\alpha$  based therapies for the treatment of hepatitis C.

	Clinical trial
<b>New IFN<math>\alpha</math> preparations</b>	
Albupheron (albumin–IFN $\alpha$ -2b: IFN with prolonged half-life)	Phase 3
Locteron (controlled release)	Phase 2
Multiferon (highly purified natural IFN $\alpha$ )	Phase 2
Medusa Interferon (long-acting IFN $\alpha$ )	Phase 2
Beleroferon injection (long-acting IFN $\alpha$ )	Phase 1
Beleroferon oral IFN $\alpha$ (enteric coated tablet)	Phase 1
<b>Other drugs combine with IFN<math>\alpha</math> or with IFN<math>\alpha</math> and ribavirin</b>	
<b>Hepatitis C virus inhibitors</b>	
ISIS 14803 (anti-sense oligonucleotides)	Phase 1/2
Telaprevir (VX-950: NS3 protease inhibitor)	Phase 2
SCH-503034 (NS3 protease inhibitor)	Phase 2
Valopicitabine (NM-283: NS5B polymerase inhibitor)	Phase 2
HCV-796 (polymerase inhibitor)	Phase 2
Celgosivir (glucoside inhibitors)	Phase 2
<b>Immunomodulations</b>	
Merimepodib (inosine monophosphate dehydrogenase (IMPDH) inhibitor)	Phase 2b
Viramidine (prodrug of ribavirin)	Phase 3
CPG 10101 (synthetic agonist of TLR-9)	Phase 2
Thymalphasin (thymosin-alpha-1)	Phase 3
Histamine dihydrochloride (immunomodulatory/antioxidant)	Phase 2
<b>Statins</b>	
Fluvastatin	Phase 2
Atorvastatin	
Lovastatin	
Simvastatin	
<b>TNF blockers</b>	
Etanercept	Phase 2

PEG–IFN $\alpha$  plus ribavirin, versus conventional IFN $\alpha$  plus ribavirin (Fried, 2002).

We can see the higher SVR rates of combination therapy of PEG–IFN $\alpha$  plus ribavirin than combination therapy of IFN $\alpha$  plus ribavirin and monotherapy of IFN $\alpha$  (Fig. 2) (Fried et al., 2002; Hadziyannis et al., 2004; Lindsay et al., 2001; Mangia et al., 2005; Manns et al., 2001; Zeuzem et al., 2000b). Although the current treatment recommendations are likely to be used in combination with the combination of PEG–IFN $\alpha$  and ribavirin, we anticipate the potential for higher SVR rates than current treatments, and shorter treatment durations will make these new treatment options more appealing to patients and help improve treatment rates.

### 2.3. Interferon alpha (IFN $\alpha$ )-based therapy

All current treatments of hepatitis C are based on the use of various preparations of IFN $\alpha$  in the monotherapy or combination therapy with ribavirin. Furthermore, the data from the U.S. National Institutes of health (ClinicalTrials.gov) and many research showed that the tendency of future treatment regimens will still be IFN $\alpha$ -based regimens with or without other effective HCV antiviral agents which are currently under investigation (Table 4), such as HCV inhibitors, immune modulators, statins, and TNF blockers (Drittanti et al., 2007; Franciscus, 2008; Ikeda et al., 2006; Lurie et al., 2002; Parfieniuk et al., 2007; Zein, 2005).

#### 2.3.1. Physicochemical properties

IFN $\alpha$  is a family of highly homologous, species-specific proteins and, occasionally, glycoproteins. It is a water-soluble protein. It is also a cytokine that not only acts through its antiviral effect, but also leads to an enhancement of immune response in hepatitis C virus treatment (Bethesda, 2007). The IFN $\alpha$  is classified as type 1 IFNs which is the same as IFN $\beta$  and IFN $\omega$ . IFN $\alpha$  contains 165 or 166 amino acid residues. Comparisons among the known IFN $\alpha$  subtypes show primary sequence differences of 2–23%. These amino acid

differences (sometimes only 3 amino acid residues) are believed to cause differences in binding affinities to the cell surface receptors, and ultimately differences in biological potency among the naturally occurring IFN $\alpha$  subtypes (Melian and Plosker, 2001).

There are currently four IFN $\alpha$  subtypes used in chronic hepatitis C: (1) IFN $\alpha$ -2a, (2) IFN $\alpha$ -2b, (3) interferon alfacon-1, and (4) IFN $\alpha$ -n1 (Sweetman, 2008). IFN $\alpha$ -2a and IFN $\alpha$ -2b are biosynthetic forms of IFN $\alpha$  (rHuIFN $\alpha$ ) and are manufactured commercially in genetically modified *Escherichia coli* by use of recombinant DNA technology. They are composed of 165 amino acids but the peptide sequence of IFN $\alpha$ -2a differs from that of IFN $\alpha$ -2b by a single amino acid at position 23; IFN $\alpha$ -2a has a lysine (Lys) residue in that position, while and IFN $\alpha$ -2b has an arginine (Arg) residue at that position (Bethesda, 2007; Perry and Wilde, 1998; Sweetman, 2008). Both of IFN $\alpha$ -2a and IFN $\alpha$ -2b have molecular weights of approximately 19,000 daltons. Compared with other IFN $\alpha$  subtypes, IFN $\alpha$ -2a and IFN $\alpha$ -2b both have a deletion at position 44 in the amino acid sequence (Bethesda, 2007).

Interferon alfacon-1 (consensus interferon) is also a biosynthetic form of type 1 IFNs containing 166 amino acid residues and is manufactured in genetically modified *E. coli* by use of recombinant DNA technology. At the amino acid level, Interferon alfacon-1 shares similar structural features with IFN $\alpha$  (89% homology) and also has homology with IFN $\beta$  and IFN $\omega$  (Alberti, 1999; Melian and Plosker, 2001).

IFN $\alpha$ -n1 (not commercially available in The United States, but commercially available in Canada) is a mixture of at least 8 IFN $\alpha$  proteins but is derived from cultured lymphoblastoid cells (from the Namalwa cell line that have been stimulated by a Sendai virus) rather than from leukocytes. The precise subtype composition of IFN $\alpha$ -n1 currently is not known (Bethesda, 2007; Sweetman, 2008).

#### 2.3.2. Pharmacological properties

IFN $\alpha$  limits amplification and spread of viruses during infections by direct mechanisms leading to the induction of an antiviral state that either protects cells from infection or attenuates the production of progeny in already infected cells, and indirect mechanisms leading to the activation of the adaptive immune response (Davis et al., 2007). Although the precise mechanisms of antiviral activity of IFN $\alpha$  have not been fully elucidated, IFNs with antiviral activity appear to bind to specific membrane receptors on cell surfaces and then activate tyrosine kinases which then upregulate the production of several gene products (e.g., 2',5'-oligoadenylate synthetase,  $\beta$ 2-microglobulin, neopterin and p68 kinases). These gene products are responsible for the antiviral, antiproliferative and immunomodulatory effects, cytokine induction and human leukocyte antigen (HLA) class I and II regulation characteristic of type I IFNs (Melian and Plosker, 2001). While both the amino and carboxy terminal regions of the molecules may be involved in eliciting antiviral activity, studies to determine which regions of the molecules confer various degrees of activity have yielded conflicting results. Some evidence indicates that different regions may be involved in eliciting various activities of the drug (Bethesda, 2007).

#### 2.3.3. Pharmacokinetic properties

2.3.3.1. Absorption. IFNs are not absorbed from the gastrointestinal tract, and are degraded by gastric acid and proteolytic enzymes. Therefore, IFNs are administered by injection for systemic effects. Absorption of IFN $\alpha$  is high (greater than 80%) following either intramuscular or subcutaneous injection (Bethesda, 2007; Sweetman, 2008). Time to peak concentration ( $T_{max}$ ) is 3.8 h for a single intramuscular dose of IFN $\alpha$ -2a, and 7.3 h for a subcutaneous dose (Perry and Wilde, 1998). Time to peak concentration of a single intramuscular or subcutaneous dose of IFN $\alpha$ -2b is usually 3–12 h (Bethesda, 2007). Interferon alfacon-1  $T_{max}$  was reached at 0.3–4 h after intramuscular administration and at 3.1–6 h after subcutaneous

administration (Melian and Plosker, 2001). Although time to peak serum drug concentration after subcutaneous injection is slower than for intramuscular injection, the serum concentration–time profiles of IFN $\alpha$  of both administrations appear to be the same (Bethesda, 2007). IFN $\alpha$  is detectable in the plasma for 4–8 h after rapid intravenous injection or infusion, and for 16–30 h after intramuscular or subcutaneous administration of various doses (Bethesda, 2007).

**2.3.3.2. Distribution.** IFN $\alpha$  is widely and rapidly distributed into body tissues after parenteral administration with the highest concentrations occurring in spleen, kidney, liver, and lung (Bethesda, 2007; Sweetman, 2008). Animal studies of recombinant IFN $\alpha$ -2a or IFN $\alpha$ -2b showed that only the kidney which is the main site of IFN metabolism demonstrates substantial uptake of the drug. The volume of distribution of IFN $\alpha$  in humans reportedly approximates 20–60% of body weight (Bethesda, 2007). IFN $\alpha$  does not readily cross the blood–brain barrier following systemic administration of mixtures of naturally occurring human or recombinant IFNs in animals or humans, although low concentrations have been detected in cerebrospinal fluid following administration of large systemic doses (Bethesda, 2007; Sweetman, 2008). It is not known whether IFN crosses the placenta or is distributed into breast milk in humans, but studies in mice indicate that murine IFN is distributed into milk (Bethesda, 2007).

**2.3.3.3. Elimination.** Elimination of IFN $\alpha$  is rapid from plasma following intravenous injection or intravenous infusion in animals or humans, while more prolonged concentrations are observed following intramuscular or subcutaneous administration (Bethesda, 2007). The main route of elimination of IFN $\alpha$  is via renal catabolism and a negligible amount of IFN $\alpha$  is excreted in the urine, while hepatic metabolism and biliary excretion are minor pathways of elimination (Bethesda, 2007; Sweetman, 2008). Elimination half-lives of IFN $\alpha$ -2a, IFN $\alpha$ -2b and Interferon alfacon-1 are approximately 2–3 h after intravenous administration and 3–8 h following intramuscular or subcutaneous administration (Sweetman, 2008).

### 3. Novel approaches to deliver IFN $\alpha$

The widespread use of IFN $\alpha$  suffers from some inherent limitations. Pharmacokinetic studies in humans (Radwanski et al., 1987; Wills et al., 1984) have shown that the *in vivo* half-life of IFN $\alpha$  is relatively short due to the small molecular size, hence rapid renal clearance, and susceptibility to serum proteases (Bailon et al., 2001; Shechter et al., 2001; Wang et al., 2002). Thus frequent injections over prolonged periods are required for efficacy. However, the thrice-weekly regimen results in a pharmacokinetic profile that exhibits a “peak and valley” pattern of blood levels (Schenker et al., 1997). In addition, IFN $\alpha$  treatment has many unwanted effects, such as fatigue, malaise, myalgias, headache, poor appetite, depression, irritability, anxiety, emotional lability, difficulty concentrating, forgetfulness, sleeplessness, bone marrow suppression, thrombocytopenia, and neutropenia (Bethesda, 2007; Liang et al., 2000). Thus increasing the dose to achieve longer lasting levels leads to undesirable side effects. Although pegylated interferons can improve pharmacokinetic features and provide the once-weekly regimen, the effectiveness of antiviral treatment remains unsatisfactory, especially as regards genotype-1 infections (Hugle and Cerny, 2003). Another limitation of PEG–IFN is the frequent occurrence of adverse events, which greatly influence adherence to the treatment regimen (Fried, 2002). Therefore, these constraints have become the focal points for research in the development of novel modified interferon molecules (more effective, less toxic, and more convenient than pegylated interferon), a variety of novel delivery systems and alternative routes of IFN $\alpha$  delivery.

#### 3.1. Modified IFN $\alpha$

##### 3.1.1. Modified IFN $\alpha$ for long-circulation

**3.1.1.1. Pegylated interferon.** Pegylated interferon is produced by the covalent attachment of recombinant IFN $\alpha$  to a polyethylene glycol (PEG) moiety (PEGylation). The objective of PEGylation is to shield the IFN $\alpha$  molecule from enzymatic degradation, thereby reducing systemic clearance to provide long-circulating IFN $\alpha$  in the patient's serum. However, increasing the size of the associated PEG may result in a loss of bioactivity, although it increases the long-acting capacity of IFN $\alpha$ . Therefore, one of the most important considerations in PEGylation is associated with the proper selection of size and shape of the PEG moiety (Harris et al., 2001). Ramon et al. explored the conjugation of IFN $\alpha$ -2b to a branched-chain 40 kDa PEG (PEG<sub>2,40k</sub>-IFN $\alpha$ -2b) (Ramon et al., 2005). The PEGylation reaction gave the monoPEG<sub>2,40k</sub>-IFN $\alpha$ -2b product at approximately 30–55% and other byproducts, including polyPEG<sub>2,40k</sub>-IFN $\alpha$ -2b and unmodified IFN $\alpha$ -2b. Compared to the polyPEG<sub>2,40k</sub>-IFN $\alpha$ -2b species, the pure (96%) monoPEG<sub>2,40k</sub>-IFN $\alpha$ -2b conjugate retained a significantly higher bioactivity. However, monoPEG<sub>2,40k</sub>-IFN $\alpha$ -2b showed both an *in vitro* antiviral and antiproliferative activity of about 2% of the initial IFN $\alpha$ -2b. Immunorecognition against IFN was reduced by the PEG<sub>2,40k</sub> moiety in the conjugate due to PEG-related steric hindrance. PEGylation markedly enhanced both the thermal stability at 60 °C of IFN $\alpha$ -2b and the resistance to protease degradation. *In vivo* pharmacokinetic studies (after intravenous bolus injection in rats) of monoPEG<sub>2,40k</sub>-IFN $\alpha$ -2b showed the distribution volume parameter about 5-fold lower than of the unconjugated IFN $\alpha$ -2b. However, monoPEG<sub>2,40k</sub>-IFN $\alpha$ -2b showed sustained serum concentrations and a 330-fold and 708-fold increase in the serum half-life and plasma residence time compared to unconjugated IFN $\alpha$ -2b.

Another type of PEGylation of IFN $\alpha$  was developed by Jo et al. (2006). They conjugated IFN $\alpha$ -2a to a trimer-structured PEG (PEG<sub>3</sub>, comprised of three PEG chains) of 43 kDa PEG to improve the pharmacokinetic properties and minimize the loss of IFN $\alpha$ -2a bioactivity. PEG<sub>3</sub>-IFN $\alpha$ -2a was prepared via an amide bond due to the reaction between an *N*-hydroxysuccinimide ester derivative of a 43 kDa PEG molecule and the free amino group of IFN $\alpha$ -2a. The PEGylation reaction gave approximately 25% monoPEG<sub>3</sub>-IFN $\alpha$ -2a, 10% diPEG<sub>3</sub>-IFN $\alpha$ -2a and 60% unmodified IFN $\alpha$ -2a. The *in vitro* specific antiviral activity of monoPEG<sub>3</sub>-IFN $\alpha$ -2a was approximately 10% of that of the native IFN $\alpha$ -2a. Therefore, monoPEG<sub>3</sub>-IFN $\alpha$ -2a conjugate exhibited a superior antiviral activity compared to branched 40 kDa PEG-IFN $\alpha$ -2a (PEG-IFN $\alpha$ -2a). Pharmacokinetic studies in rats of monoPEG<sub>3</sub>-IFN $\alpha$ -2a had about a 31-fold and 54-fold increase in the serum half-life and plasma residence time compared to unconjugated IFN $\alpha$ -2b after intravenous injection. In addition, the serum half-life of monoPEG<sub>3</sub>-IFN $\alpha$ -2a was increased approximately 36-fold longer and the mean plasma residence time was increased approximately 38-fold compared to unconjugated IFN $\alpha$ -2b after subcutaneous injection.

**3.1.1.2. Albuferon (albumin-IFN $\alpha$ -2b).** Albuferon is an 85.7 kDa protein consisting of the antiviral properties of IFN $\alpha$ -2b genetically fused to human serum albumin (HSA). It is designed to improve the pharmacokinetic and pharmacodynamic profile of IFN $\alpha$  and decrease dosing frequency (Table 5). The long half-life and relatively high stability of HSA makes it an attractive candidate for fusion to short-lived therapeutic proteins, using fusion technology. HSA is the most prevalent naturally occurring blood protein in the human circulatory system, persisting within the circulation for over 20 days. *In vitro* comparisons of albuferon and IFN $\alpha$  have shown similar antiviral and antiproliferative activities, although albuferon was less potent on a molar basis than IFN $\alpha$ , as expected. It can be concluded that the physicochemical properties, binding characteristics



**Table 5**  
A summary of new IFN $\alpha$  delivery approaches.

New approaches	New IFN $\alpha$ preparations	Dosing schedule	Clinical trial
Genetic modification of IFN $\alpha$ for extended therapy	Albuferon: IFN $\alpha$ -2b genetically fused to human serum albumin Beleroferon: single point amino acid mutation of human IFN $\alpha$	SC every 2 weeks SC once weekly	Phase 3 Phase 1
Microspheres for extended therapy	Locteron: formulation of IFN $\alpha$ -2b with copolymers of poly(ethylene glycol) and poly(butylene terephthalate) Medusa: formulation of IFN $\alpha$ -2b using the polymer based of hydrophilic chain of poly-L-glutamate and hydrophobic molecules of $\alpha$ -tocopherol	SC every 2 weeks SC once weekly	Phase 2 Phase 2
Alternative routes of administration	Beleroferon: enteric coated tablet (single point amino acid mutation of human IFN $\alpha$ )	Oral daily	Phase 1

SC = subcutaneous injection.

and apparent biological activity of IFN $\alpha$  are preserved in the albumin–IFN $\alpha$  fusion protein. *In vivo* studies in monkeys have demonstrated that albuferon has a prolonged elimination half-life (68 and 93 h after 30  $\mu$ g/kg intravenous and subcutaneous injection, respectively). In addition, in other monkey models albuferon showed 140-fold slower rate of clearance and 18-fold longer half-life than for IFN $\alpha$  given by subcutaneous route (Osborn et al., 2002).

A phase 1 dose-ranging study, evaluated a limited exposure to albuferon to assess its safety, pharmacokinetics and pharmacodynamic parameters in patients who had failed prior IFN $\alpha$  therapy. The results showed that albuferon had a favorable safety profile at doses up to 1200  $\mu$ g and there were no discontinuations associated with adverse events. Reduced clearance resulted in a mean elimination half-life of 159 h, which supports dosing at 2–4-week intervals (Balan et al., 2006). A phase 2 study in IFN $\alpha$ -naive chronic hepatitis C patients infected with hepatitis C virus genotype-1 showed that albuferon was well tolerated at dose up to 1200  $\mu$ g, and that 69% of patients achieved a 2 log 10 IU/mL or greater reduction in HCV RNA at week 4 at higher doses of 900 and 1200  $\mu$ g (Bain et al., 2006). Albuferon is now in phase 3 clinical studies to establish efficacy and safety for regulatory approval for treatment with 900 or 1200  $\mu$ g doses every 2 weeks (Subramanian et al., 2007).

**3.1.1.3. Highly purified natural leukocyte IFN $\alpha$  (nIFN $\alpha$ , Multiferon<sup>®</sup>).** Highly purified natural leukocyte IFN $\alpha$  (nIFN $\alpha$ , Multiferon<sup>®</sup>) is a mixture of various physiologically glycosylated IFN $\alpha$  subtypes. Importantly, each subtype has an individual pharmacodynamic pattern of antiproliferative, antiviral and immunological properties. Therefore, the spectrum of activity and patient's tolerance of nIFN $\alpha$  is superior to a single IFN $\alpha$  subtype (Musch et al., 2004b). It could possibly be an alternative in the treatment of difficult-to-treat patients with chronic hepatitis C. For example, a patient with chronic hepatitis C (genotype-1b) and cirrhosis achieved complete biochemical and virological response after 14 and 16 weeks, respectively, of therapy with nIFN $\alpha$  (3 MU by subcutaneous injection every second day) (Musch et al., 2004b). No breakthrough occurred during a prolonged therapy (68 weeks) and no relapse occurred after treatment-free and follow-up period (44 months). The patient's tolerance of nIFN $\alpha$  treatment was reported to be excellent. Prior to this treatment, treatment approaches including recombinant IFN $\alpha$ -2b (rIFN $\alpha$ -2b) and natural IFN $\beta$  (nIFN $\beta$ ) have been carried out but these have been unsuccessful due to breakthrough phenomena and thrombo-leukocytopenia. In contrast, after the patient was switched to nIFN $\alpha$ , the thrombocyte and leukocyte counts increased significantly. Furthermore, this treatment resulted in normal protein electrophoresis, spleen size and counts of thrombocytes and leukocytes. The other study reported that a patient with histologically proven chronic hepatitis C and chronic hepatitis B and additional compensated cirrhosis of the liver (Child A) were not successful with rIFN $\alpha$ -2b or a combination of nIFN $\beta$  and recombinant IFN $\gamma$  (rIFN $\gamma$ ) but the patient achieved sustained complete biochemical and viral response following 5 and 14 months respectively of therapy with nIFN $\alpha$  3  $\times$  3 MU weekly (Musch et al., 2004a). Moreover, no relapse occurred after the end of treatment

with nIFN $\alpha$  (76 months) and a follow-up period (30 months), and nIFN $\alpha$  was well tolerated by the patient and no substantial side effects were noted.

**3.1.1.4. Beleroferon.** Beleroferon, a modification of human IFN $\alpha$  with a single amino acid replacement, has been engineered by Nautilus Biotech to lower the susceptibility of IFN $\alpha$  to proteolytic degradation in blood and other tissues, and make it longer-lasting in serum (Table 5). It has an unchanged molecular weight and specific antiviral activity compared to native IFN $\alpha$ . Pharmacodynamic studies of Beleroferon in cynomolgus monkeys using several surrogate markers to check anti-HCV, such as neopterin, MxA and 2',5'-oligoadenylate synthetase, show excellent biological responses. Importantly, *in vivo* pharmacokinetics of Beleroferon after subcutaneous and intravenous injection is superior to native IFN $\alpha$  and pegylated derivatives, and its safety profiles (toxicity, tolerability, or immunogenicity) are the same as those for native IFN $\alpha$  (Franciscus, 2004; Martin, 2006). Subcutaneous Beleroferon is ongoing in a phase 1 clinical trial in the treatment of hepatitis C for a once weekly dosing schedule (Drittanti et al., 2007; Franciscus, 2008).

**3.1.1.5. Polysialic interferon.** Polysialylation is the conjugation of peptides and proteins to the biodegradable  $\alpha$ -(2 $\rightarrow$ 8) linked polysialic acid (PSA) and can protect some peptides and proteins from proteolytic enzymes (Gregoriadis et al., 2005). Therefore, Hirst et al. (2002) prepared a polysialylated IFN $\alpha$ -2b (PAS-IFN(-2b)) using a chemical conjugation method. However, their results showed that the *in vitro* inhibitory activity of the conjugate was still 2.5–4.5 times lower than that of the native IFN $\alpha$ -2b due to the conditions of polysialylation which are still to be optimized for IFN $\alpha$ -2b.

**3.1.1.6. FMS<sub>7</sub>-IFN $\alpha$ -2.** More recently, Shechter et al. (2001) have covalently linked seven molecules of 2-sulfo-9-fluorenyl-methoxycarbonyl (FMS) to the amino groups of human IFN $\alpha$ -2 by a chemical conjugation method, to protect IFN from proteolysis and inactivation by serum proteases, and promote long circulation of IFN. The biological activity of the FMS<sub>7</sub>-IFN $\alpha$ -2 conjugate was lower than that of the native IFN $\alpha$ -2. The FMS<sub>7</sub>-IFN $\alpha$ -2 conjugate has approximately 4% of the biological potency and about 33% of the receptor binding capacity of the native IFN $\alpha$ -2. FMS<sub>7</sub>-IFN $\alpha$ -2, being resistant to the proteolytic inactivation by human serum proteases *in vitro* and capable of slowly reverting to the native active IFN $\alpha$ -2 at physiological conditions *in vivo* and *in vitro*, maintains prolonged circulating antiviral activity in mice, exceeding 7–8 times the activity of intravenously administered native IFN $\alpha$ -2. A pharmacokinetic study of FMS<sub>7</sub>-IFN $\alpha$ -2 in mice provided a lag time of 2 h, C<sub>max</sub> at 20 h and half-life of 35  $\pm$  4 h (about 9-fold compared to native IFN $\alpha$ -2). However, the optimization is still required to improve both of biological activity and pharmacokinetics of the conjugate for the further clinical studies.

**3.1.1.7. IFN $\alpha$ -2b-AGPs.** IFN $\alpha$ -2b-AGPs, a new method that not only provides high product yields of IFN, but also increases half-life, has been explored by Xu et al. (2007). The IFN $\alpha$ -2b is expressed as



arabinogalactan-protein (AGP) chimeras in cultured tobacco cells. Under this method IFN $\alpha$ -2b-AGPs secretion can reach to 350–1400 times higher yields than the non-glycosylated IFN $\alpha$ -2b control. Interestingly, IFN $\alpha$ -2b-AGPs exhibited antiviral specific activity units ranging from 87 to 95% that of the IFN $\alpha$ -2b standard. Furthermore, the IFN $\alpha$ -2b-AGPs showed up to a 13-fold increase as *in vivo* serum half-life, and had significant antiviral activity in the plasma during 40 h following intravenous injection. Therefore, the advantages of AGP glycomodules were to enhance production of IFN $\alpha$ -2b, increase the molecular size and extend serum half-life with almost complete retention of IFN $\alpha$ -2b biological activity, which in clinical applications potentially means decreased number of injections and a reduced cost.

### 3.1.2. Modified IFN $\alpha$ for liver-targeted delivery

One method to minimize the side effects during IFN therapy is to reduce the injection dose of IFN by targeting to its site of action, the liver. Passive trapping of microparticles by reticuloendothelium in the liver and active targeting based on hepatic receptor recognition are two main methods for liver-targeted delivery (Wu et al., 2002; Zhang et al., 2004). In chronic hepatitis there is some research focusing on active targeting of IFN to the liver by conjugation with water-soluble polysaccharides, with a high affinity for the liver, such as pullulan (Suginoshita et al., 2002; Tabata et al., 1999; Xi et al., 1996).

**3.1.2.1. Pullulan conjugation.** Pullulan, a linear, nonionic polysaccharide with a repeated unit of maltotriose condensed through  $\alpha$ -1,6 linkage, has been used extensively in the food industry as well as the pharmaceutical industry. Pullulan was found to accumulate in the liver at significantly higher amounts than other water-soluble polymers, such as polyethylene glycol, polyvinyl alcohol, and dextran (Suginoshita et al., 2002; Xi et al., 1996). Therefore, Xi et al. (1996) developed an IFN $\alpha$ -pullulan conjugation using a cyanuric chloride method (chemical conjugation). They used a pullulan grade with the molecular weight of 200,000 to conjugate with IFN $\alpha$ . IFN $\alpha$  was shown to bind highly to pullulan, ranging from 70 to 90% of the initial IFN $\alpha$  amount. The IFN $\alpha$ -pullulan conjugate retained the antiviral activity of approximately 10% compared to unconjugated IFN $\alpha$  due to protein denaturation of IFN $\alpha$  and the steric hindrance of pullulan (impairing the receptor binding ability of IFN $\alpha$ ). However, the IFN $\alpha$ -pullulan conjugate showed significantly increased liver accumulation of IFN $\alpha$ , greater than the unconjugated IFN $\alpha$  (about 36-fold at 1 h and 121-fold at 24 h following intravenous administration to mice). The biospecific interaction of some liver receptors with nonionic pullulan may be caused by the repeated sugar units of pullulan. Furthermore, IFN $\alpha$ -pullulan conjugate was more efficient than that of free IFN $\alpha$ , with respect to the IFN amount required for the induction of liver 2',5'-oligoadenylate synthetase. In addition, the liver 2',5'-oligoadenylate synthetase induced by conjugate injection was retained at a high level for 3 days, whereas it was lost within the first day for the free IFN $\alpha$ . However, the chemical coupling involves a multistep, complicated process that is poorly reproducible and loses a considerable amount of the drug activity, making it difficult to use IFN-polymer chemical conjugates clinically, despite their high pharmacologic efficacy.

Tabata et al. attempted to increase the antiviral activity of IFN $\alpha$ -pullulan conjugation. They developed a new IFN $\alpha$ -pullulan conjugation method by metal coordination instead of chemical binding (Tabata et al., 1999). Firstly, diethylenetriamine pentaacetic acid (DTPA) residues were introduced to hydroxyl group of pullulan (DTPA-pullulan), and then IFN $\alpha$  and the DTPA-pullulan were mixed in an aqueous solution containing Zn<sup>2+</sup> ions, resulting in formation of an IFN $\alpha$ -DTPA-pullulan conjugate based on Zn<sup>2+</sup> coordination. Approximately 60% of IFN $\alpha$  was conjugated to the DTPA-pullulan under Zn<sup>2+</sup> coordination. The IFN $\alpha$ -DTPA-pullulan conjugate retained the antiviral activity of approximately 60%

compared to unconjugated IFN $\alpha$ . This showed the higher efficiency of metal coordination than chemical conjugation. Moreover, IFN $\alpha$ -DTPA-pullulan conjugate showed significantly increased and prolonged liver accumulation of IFN $\alpha$  greater than unconjugated IFN $\alpha$  (about 16-fold at 1 h and 122-fold at 24 h after intravenous injection to mice). To induce activity in the liver of an antiviral enzyme 2',5'-oligoadenylate synthetase, IFN $\alpha$  doses of the IFN $\alpha$ -DTPA-pullulan conjugate for intravenous injection were lower than those used for unconjugated IFN $\alpha$  injection. In addition, the induction level by the conjugate was also retained at a detectable level over 4 days, whereas induction by free IFN $\alpha$  was rapid lost by day 2. Prolonged retention of the large molecular weight DTPA-pullulan conjugate in the extravascular space of the liver, and suppression of intracellular metabolism is possible due to IFN $\alpha$  coordinately binding to pullulan. However, the lack of toxicity of the conjugate has not been finally confirmed.

## 3.2. Novel sustained release injectable drug delivery systems

### 3.2.1. Injection routes

**3.2.1.1. Minipellet.** The IFN minipellet is a matrix-type long-acting drug delivery system of IFN $\alpha$  incorporated in atelocollagen as a biodegradable carrier material. Fujioka et al. (1995b) prepared thin cylindrical pellets to be administered subcutaneously, in a similar fashion to conventional solutions for injection. Importantly, the minipellet is manufactured under mild processing conditions, without the use of organic solvents or heating. The release of IFN $\alpha$  from the collagen matrix varied with various processing conditions, based on the density of final collagen matrix. For the release mechanism, swelling of the matrix and diffusion of IFN $\alpha$  are considered to be the most important. *In vitro* release of IFN $\alpha$  from a cylindrical solid dosage form prepared by extrusion and air-drying of a 30% (w/w) collagen solution showed continuous release without an initial burst. Pharmacokinetic studies in mice showed that the peak concentration was reduced, minimizing side effects, and the serum IFN $\alpha$  concentration was maintained for a long time (5 days), but the blood IFN $\alpha$  concentration tended to be maintained at a lower level due to a slower release rate. The group then introduced the IFN-minipellets in which human serum albumin (HSA) was added to the collagen matrix, to promote and maintain IFN $\alpha$ -release (Fujioka et al., 1995a). *In vivo* results showed that serum IFN $\alpha$  concentrations were maintained at elevated levels for 7–10 days after administration of IFN minipellets containing 30% (w/w) HSA in the matrix.

**3.2.1.2. Liposomes.** Liposomes have the potential to provide controlled release and stabilize IFN $\alpha$ . Moreover, liposomal IFN $\alpha$  preparations can alter the pharmacokinetics, tissue distribution and uptake of IFN $\alpha$  in comparison with free IFN $\alpha$  (Eppstein and Stewart, 1982). Therefore, IFN $\alpha$ -containing liposomes have been under investigation for many years. Karau et al. prepared IFN $\alpha$ -containing liposome as multilamella vesicles (MLV) by extrusion and homogenization techniques (Karau et al., 1996). The highest trapping efficiency, approximately 23% for IFN $\alpha$ , was found for negatively charged liposomes composed of egg phosphatidylcholine/cholesterol/dimyristoyl phosphatidylglycerol in a 6:4:1 molar ratio with a lipid concentration of 10% (w/v). The method was a one-step process and involved repeated extrusion through 0.2  $\mu$ m polycarbonate filters, and mechanical high shear homogenization. Encouragingly, the activity of IFN $\alpha$  and the size distribution of the liposomes prepared by extrusion were stable over 4 months at 20 °C. However, the trapping efficiency for IFN $\alpha$  in liposomes prepared by homogenization using a microfluidizer according to the one-step method, was only approximately 2.5%.

Another idea for a sustained release injectable drug delivery system is the use of a multivesicular liposome (MVL). Since MVLs

contain a larger internal space than conventional liposomes, more drugs may be loaded. Their larger size may also deter rapid clearance by tissue macrophages, so that they may act as drug depots to enable sustained release of drugs (Howell, 2001). Therefore, encapsulation of IFN $\alpha$  in MVLs may also provide sustained therapeutic levels of drug and reduce systemic exposure and toxicity. Qiu et al. developed a sustained release MVL formulation of IFN $\alpha$ -2b using a typical double-emulsion (water-in-oil-in-water) method (Qiu et al., 2005). The IFN $\alpha$ -2b encapsulation efficiency in MVLs composed of lipids (molar ratio 1,2-dioleoyl-sn-glycero-3-phosphocholine:cholesterol:1,2-dipalmitoyl-sn-3-phosphoglycerol:triolein, 7:11:1:1) at a protein (triolein)-to-lipid ratio of 0.031 (w/w) was more than 60%. Moreover, with more triolein present, the lipid walls were more stable; this resulted in a longer release time. In addition, there were two different-sized populations obtained and the IFN $\alpha$ -2b release from MVL with smaller sizes (10–25  $\mu$ m) was obviously slower than that from larger MVLs (40–60  $\mu$ m). After subcutaneous injection in rat, the MVLs slowly released IFN $\alpha$ -2b into the systemic circulation for more than 5 days and the estimated serum half-life of IFN $\alpha$ -2b was approximately 30 h. Interestingly, Vyas et al. developed a sustained release formulation of IFN $\alpha$ -2a by using both pegylation and encapsulation in MVLs. IFN $\alpha$ -2a was pegylated with methoxy-polyethylene glycol of molecular weight 5000 (IFN $\alpha$ -2a-mPEG<sub>5000</sub>) using a simple end-to-end mixing and rotation method (Vyas et al., 2006). The relative *in vitro* antiviral activity of pegylated IFN $\alpha$ -2a was found to 87.9% of the unmodified IFN $\alpha$ -2a. Pegylated IFN $\alpha$ -2a encapsulated in MVLs composed of both phospholipids and triglyceride components were also prepared by a water-in-oil-in-water double emulsification technique. Pegylated and nonpegylated IFN $\alpha$ -2a were entrapped in MVLs with good encapsulation efficiency approximately 65–75%. *In vitro* release profiles of IFN $\alpha$ -2a-mPEG<sub>5000</sub> containing MVLs showed a lower initial burst release less than 10% with sustained and incomplete release up to 6 days. On the contrary, unmodified IFN $\alpha$ -2a entrapped MVLs showed higher initial burst release nearly 35% followed by almost complete release. Moreover, MVLs were stable over 6 months at 4 °C.

In a recent study, Yang et al. could enhance the encapsulation efficiency of IFN $\alpha$ -2b in liposomes above 80% by using a novel modified film-hydration method employing polyvinylpyrrolidone K30 followed by homogenization (Yang et al., 2006). Polyvinylpyrrolidone K30 played an important role in improving the encapsulation of the drug and stability of liposomes. The liposomes produced by this technique were a mixture of mainly unilamellar vesicles and a small number of multilamellar vesicles. While the number of homogenization cycles increased, the size of liposomes was reduced and the activity of IFN $\alpha$ -2b was decreased. Under these limitations a mean size of 101 nm with 9.9% loss of IFN $\alpha$ -2b activity was an optimum size for the liposomes containing IFN $\alpha$ -2b and their physical stability for 22 months at 4 °C showed the mean size increasing slightly from 101 to 122 nm. Interestingly, the liposomes with size  $\leq$ 100 nm can take IFN $\alpha$ -2b through the lymph system into the blood circulation by protecting IFN $\alpha$ -2b from the enzymes *in vivo*. This would result in a longer half-life, higher bioavailability, and higher levels in the liver. However, further *in vivo* pharmacokinetic studies are required to prove this hypothesis.

**3.2.1.3. Microsphere drug delivery systems.** Microsphere drug delivery systems based on polylactide (PLA), polylactide-co-glycolide (poly lactic-glycolic acid; PLGA) and poly-DL-lactide-poly(ethylene glycol) (PELA) have been extensively investigated to encapsulate protein or peptide drug, including IFN $\alpha$ . However, the potential of IFN formulated in these systems has been limited by the decreased activity of IFN during the encapsulation and/or release process. Consequently, new stabilization approaches are required. Zhou et al. developed a novel microsphere-based delivery system comprising

calcium alginate microcores coated by a biodegradable PELA wall (Zhou et al., 2002). IFN $\alpha$ -2a was loaded within the alginate microcores using a high-speed stirrer and then microencapsulated into PELA copolymer using a water-in-oil-in-water solvent extraction method. This system showed the highest biological activity (48%) and encapsulation efficiency (68.7%) of IFN $\alpha$ -2a, compared to the conventional microspheres based on biodegradable PLGA and PELA. This was because the IFN $\alpha$ -2a was entrapped within alginate complex microcores, thus avoiding direct contact with organic solvents and preserving biological activity. From the *in vitro* release study the initial burst release of IFN $\alpha$ -2a from the core-coated microspheres was the least compared with PLGA and PELA microspheres. In addition, the core-coated microspheres showed sustained and gradual release of IFN $\alpha$ -2a over 13 days and preserved the biological activity of IFN $\alpha$ -2a for up to 11 days longer than the conventional microspheres. This is because the alginate microcores themselves could be protected by an acidic microenvironment which may be generated by the outer polymer degradation. The other stabilization approach was developed by Sanchez et al. (2003). IFN $\alpha$  was encapsulated within PLGA/poloxamer blend microspheres prepared by an oil-in-oil solvent extraction technique and also within PLGA micro- and nanospheres containing poloxamer, prepared by the water-in-oil-in-water solvent evaporation technique. These biodegradable micro- and nanoparticles used poloxamer 188 (polyethylene-polypropylene glycol) as a stabilizing agent to minimize IFN $\alpha$  denaturation during encapsulation and/or release. In addition, human serum albumin was also added in these systems to improve the encapsulation efficiency and stability of the encapsulated IFN $\alpha$ . *In vitro* release studies for these systems, showed a similar pattern of release. This was an initial burst release of IFN $\alpha$  approximately 2–24%, followed by small pulses of immunoenzymatically detected IFN $\alpha$  over 30 days providing therapeutic levels, whilst avoiding the adverse effects.

To achieve a long-acting formulation by using microsphere drug delivery systems Diwan and Park have used pegylated IFN $\alpha$ -2a encapsulated in PLGA microspheres (Diwan and Park, 2003). IFN $\alpha$ -2a was pegylated with mono-methoxylated polyethylene glycol (mPEG, MW 2000 or 5000 Da), and the optimized conjugates were microencapsulated in PLGA microspheres prepared by a double emulsion (water-in-oil-in-water) technique. *In vitro* release profiles from biodegradable PLGA microspheres, native IFN $\alpha$ -2a released only about 16% after 3 weeks, but IFN $\alpha$ -2a-mPEG<sub>2000</sub> and IFN $\alpha$ -2a-mPEG<sub>5000</sub> conjugates released approximately 73 and 57%, respectively after the same period. Therefore, the PEG chain length also influenced the release rate of IFN $\alpha$ -2a from microspheres. Moreover, the pegylated IFN showed significantly greater resistance towards aggregation induced under the harsh conditions for microencapsulation in contrast to native IFN $\alpha$ -2a.

Recently, Biorex Therapeutics Incorporation has developed a novel controlled release formulation of IFN $\alpha$ -2b (Locteron<sup>TM</sup>) to be administered every 2 weeks for chronic hepatitis C treatment (Table 5). The biodegradable polymer used in Locteron<sup>TM</sup> is OctoPlus<sup>TM</sup> PolyActive<sup>TM</sup>, a series of poly(ether ester) multiblock copolymers, based on poly(ethylene glycol) and poly(butylene terephthalate). This biodegradable polymeric microsphere can release unmodified IFN $\alpha$ -2b with near zero-order release kinetics to achieve steady serum levels (Bechet et al., 2006). In a phase 2a study, 480  $\mu$ g Locteron<sup>TM</sup> administered subcutaneously every 2 weeks, plus weight-based ribavirin for 12 weeks, to treatment-naive patients with chronic hepatitis C (genotype-1) gave the highest efficacy compared to other doses, i.e., 160, 320 and 640  $\mu$ g (Dzyublyk et al., 2007). After 12 weeks with 480  $\mu$ g Locteron<sup>TM</sup> plus ribavirin, the average viral reduction was 4.2 logs, 63% of subjects were HCV negative, and early viral response (EVR: 12-week  $\geq$ 2-log drop in HCV RNA) was achieved in 100% of subjects. Moreover, clinical adverse events were shown to be generally mild.

More recently, a Medusa II formulation of IFN $\alpha$ -2b (IFN $\alpha$ -2b XL) for slow release, has been developed by using the polymer-based Medusa<sup>®</sup> system (Flamel Technologies) (Chan et al., 2007) (Table 5). The Medusa II polymer consists of hydrophilic chains of poly-L-glutamate and hydrophobic molecules of  $\alpha$ -tocopherol (vitamin E) randomly grafted to some of the glutamate units through a hydrolysable ester bond by a conventional esterification method in solution phase. Therefore, after purification, the polymer isolated in water forms a colloidal suspension of nanoparticles (10–50 nm), and then may be simply mixed with an aqueous solution of IFN $\alpha$ -2b, without the use of organic solvents. The physical binding of IFN $\alpha$ -2b to the hydrophobic nano-domains within the nanoparticles is fully reversible leading to a sustained drug release. Interestingly, the formulation process does not degrade IFN $\alpha$ -2b and also maintains the biological activity of IFN $\alpha$ -2b to approximately 97%. Pharmacokinetic profiles of IFN $\alpha$ -2b XL in three animal models (rats, monkeys and dogs) showed a 20-fold lower  $C_{max}$ , and clearly more extended IFN $\alpha$ -2b release (up to 6 days) with a loss of relative bioavailability of approximately 50%, in comparison with an IFN immediate release. In a phase 1/2 clinical trial a single subcutaneous injection over 1 week of IFN $\alpha$ -2b XL also showed 9-fold reduction in  $C_{max}$  and extended IFN $\alpha$ -2b release time over 7 days with a 50% relative bioavailability compared with standard IFN $\alpha$ -2b treatment. However, the number of adverse events was significantly lower for IFN $\alpha$ -2b XL compared with standard IFN $\alpha$ -2b treatment. Moreover, the reduction in the mean viral load was also higher after administration of IFN $\alpha$ -2b XL in the 27 MIU group than for standard IFN $\alpha$ -2b treatment (1.27 log versus 0.97 log), including patients with the genotype 1 (Trepo et al., 2006). Therefore, the Medusa formulation may support weekly dosing, and a phase 2 evaluation of weekly dosing of IFN $\alpha$ -2b XL in HCV patients is ongoing (Franciscus, 2008).

**3.2.1.4. Injectable carrier.** Hydroxyapatite (Ca<sub>10</sub>(PO<sub>4</sub>)<sub>6</sub>(OH)<sub>2</sub>, HAp) is a biodegradable material and the main mineral component of bones and teeth. Interestingly, it has already been reported that implanted HAp preparations of antibiotics and anticancer drugs showed extended release in animals. Therefore, Mizushima et al. developed injectable spherical porous hydroxyapatite microparticles (SP-HAp) as a biodegradable carrier for IFN $\alpha$  using a spray-drying method (Mizushima et al., 2006). The SP-HAp had an average diameter of 5  $\mu$ m. Consequently, it could be injected subcutaneously as a suspension through a very narrow gauge needle, such as a 27-gauge needle (reducing pain on injection). The SP-HAp also had a porosity of approximately 58% providing a very large surface area for the absorption of IFN $\alpha$ . IFN $\alpha$  was adsorbed well to SP-HAp particles, but IFN $\alpha$  was observed to release faster from the particles than the particles could degrade (both *in vitro* and *in vivo*). After subcutaneous injection of the IFN $\alpha$ -containing SP-HAp preparation into mice no initial burst was observed, this was followed by a similar plasma profile to the control IFN $\alpha$  solution. However, the sustained release of IFN $\alpha$  (up to day 10) was achieved in the IFN $\alpha$ -containing SF-HAp preparation with human serum albumin and zinc.

### 3.2.2. Implants

Implantation is one of the interesting approaches to provide sustained release of IFN $\alpha$  and reduce the frequent injections. In 1999 Yamagata et al. prepared the cylindrical matrix implant by a heat extrusion technique using a lyophilized powder of IFN $\alpha$ -2a and different types of polyglycerol esters of fatty acid (PGEF) (Yamagata et al., 2000). PGEFs are synthetic substances that resemble glycerides in the structure, and are expected to be a biocompatible material. In both *in vitro* and *in vivo* release studies, IFN $\alpha$ -2a release from the matrices containing tetraglycerol diesters of palmitate and stearate showed a steady rate of release without a large initial burst. This

maintained a high serum level of IFN $\alpha$ -2a for 7 days and the mean residence time of IFN $\alpha$ -2a was prolonged to between 76 and 93 h, following administration of matrices prepared from tetraglycerol dipalmitate and tetraglycerol distearate, respectively. The release from those matrices was concluded to be a diffusion-controlled process. In addition, the bioavailability of IFN $\alpha$ -2a after implantation of those matrices was high and almost equivalent to that after injection of IFN $\alpha$ -2a solution, indicating that in those matrices, it was stable during the release period. Around the same time Kajihara et al. also developed a sustained release formulation of IFN $\alpha$ , by mixing silicone as a carrier with lyophilized or spray-dried albumin powder that contained only traces of IFN $\alpha$  (Kajihara et al., 2000) a cylindrical matrix implant was formed. Human serum albumin (HSA) was used to control IFN $\alpha$  release from the silicone by promoting the penetration of water. No use of organic solvent or heating was required using this preparation method. Moreover, silicone formulations showed good chemical stability even during *in vivo* testing. In addition, they may be easily removed after finishing treatment. The IFN $\alpha$  release rate may be controlled by varying parameters such as the amount of additive and particle size of the IFN/HSA powder. However, the release of IFN $\alpha$  from a matrix type formulation is a first-order release process, i.e., the release rate decreases with time. In order to achieve a zero-order release, Kajihara et al. developed a new drug delivery system using silicone by improving the geometry of the formulation, which is named the covered-rod-type formulation (Kajihara et al., 2001). The preparation method of the covered-rod-type formulation was also conducted under mild conditions, without the need for organic solvents or heating. Covered-rod-type formulations were prepared by using the IFN/HSA powder containing different types of additives, e.g., glycine, sodium glutamate and sodium chloride, since these additives increased the osmotic pressure, and the subsequent IFN $\alpha$  release rate from the covered-rod-type formulations. To suppress the diffusion of IFN $\alpha$  in a covered-rod-type formulation, therefore, the inner part is the IFN/HSA/additive containing silicone, and the outer part which water does not penetrate is the IFN/HSA/additive-free silicone. *In vitro* data has indicated that the covered-rod-type formulation accomplishes release of IFN $\alpha$  at a constant rate for 30–100 days without a significant initial burst. In addition, the serum IFN $\alpha$  concentration was maintained at a constant level for 28 days after the IFN $\alpha$  covered-rod-type formulation was implanted in nude mice, indicating that IFN $\alpha$  was released from the covered-rod-type formulation at a constant rate, not only *in vitro*, but also *in vivo*. Therefore, the covered-rod-type formulation would be expected to reduce the frequency of administration and subsequent side effects associated with IFN $\alpha$ .

Another method to develop the implant device for IFN $\alpha$  delivery was explored by Mohl et al. The group developed a tristearin implant device containing lyophilized IFN $\alpha$ -2a and polyethylene glycol 6000 (PEG 6000) for continuous delivery of IFN $\alpha$ -2a by compression (Mohl and Winter, 2004). Importantly, IFN $\alpha$ -2a was stabilized by a lyophilization process using either trehalose or hydroxypropyl- $\beta$ -cyclodextrin (HP- $\beta$ -CD) as stabilizer. The *in vitro* release studies indicated that more than 90% of the incorporated IFN $\alpha$ -2a may be liberated continuously over 1-month from systems containing 10% PEG 6000, and the initial drug release reduced to values below 25%. The constant IFN $\alpha$ -2a release rates over prolonged periods of time result from the diffusion of PEG 6000 out of matrices, partially compensating for the increasing length of the diffusion pathways. Over time, this diffusion of PEG promotes the complete release of IFN $\alpha$ -2a, due formation of an interconnected porous network (Herrmann et al., 2007a,b). Moreover, integrating HP- $\beta$ -CD into the matrices led to faster, and more complete release of IFN $\alpha$ -2a due to solubilizing effects (Mohl and Winter, 2004), and exhibited good stabilization of IFN $\alpha$ -2a within the matrices over 3 months and 6 months at 4 °C or room temperature (with 33%



relative humidity). In contrast to the matrices containing trehalose, the amount of total drug released was significantly reduced, and high levels of covalently linked IFN $\alpha$ -2a aggregates were observed, IFN $\alpha$ -2a dimer and trimer species, due to disulfide exchange (Mohl and Winter, 2006).

### 3.3. Alternative delivery routes

#### 3.3.1. Transdermal

Transdermal delivery systems present an attractive, non-invasive delivery method to achieve steady blood levels and have the potential to limit the side effects of IFN therapy. Badkar et al. have demonstrated a novel transdermal delivery of IFN $\alpha$ -2b using microporation and iontophoresis in hairless rats (Badkar et al., 2007). They found that after applying a transdermal patch (Iomed TransQ1-GS-hydrogel) saturated with an IFN $\alpha$ -2b solution (600 mg/mL) for 4 h IFN $\alpha$ -2b was not delivered through intact skin by itself (passive delivery) or during iontophoresis. Interestingly, when microporation of the Altea Therapeutics PassPort™ System (2.0 cm<sup>2</sup>; 72 micropores/cm<sup>2</sup>) was applied on the rat abdomen followed by transdermal patch of IFN $\alpha$ -2b, passive delivery through micropores was shown to deliver a dose of 397 ± 67 ng over 6 h (0.067% of patch loading delivered into the systemic circulation), with steady state serum concentrations reaching a plateau at 1 h post-patch application. No “peak and valley” pharmacokinetic profile observed with subcutaneous injection occurred during patch application. Moreover, when iontophoresis was applied to microporated skin, the amount delivered was increased to 722 ± 169 ng in 6 h (0.12% of patch loading delivered into the systemic circulation). Iontophoresis did not have any effect on the bioactivity of the IFN $\alpha$ -2b as determined in an *in vitro* Franz diffusion cell set up. In addition, passive delivery of IFN $\alpha$ -2b through microporated skin was found to be dependent on the patch loading, concentration, gradient mechanism, and number of micropores per cm<sup>2</sup>.

#### 3.3.2. Buccal

The buccal administration route is an attractive way to deliver IFN $\alpha$  because this route is a convenient and safe transport method. It may reduce many related side effects by providing a constant, predictable level of drug in the blood. However, the efficiency of the buccal delivery is not currently able to compete with injection methods, therefore, an absorption promoter is required. Bile acid salts are the most efficient absorption promoters, but have a strong bitter taste, so may be unacceptable for good compliance. Starokadomskyy and Dubey have studied the possibility of IFN $\alpha$  delivery across the buccal mucosa by using lysalbinic acid as an absorption enhancer (Starokadomskyy and Dubey, 2006). Lysalbinic acid is a product of alkaline hydrolysis of albumin, and does not contain strong cationic or anionic functional groups. Consequently, lysalbinic acid can be classified as a non-ionic detergent with minimal irritation action and a neutral taste. In studies by the group, IFN $\alpha$  without absorption enhancers was detected in hamster cheek pouches after 15–30 min of incubation only at trace concentrations. Co-administration of IFN $\alpha$  with 1% lysalbinic acid increased the permeability of IFN $\alpha$  through the mucous by a factor of 5 times over 10 min. Furthermore, addition of 5% of lysalbinic acid increased IFN $\alpha$  transport by 6 times, in 2 min and 9 times in 10 min. Thus, lysalbinic acid has been shown to increase significantly the permeability of the hamster oral mucosa for IFN $\alpha$ . They have also demonstrated that lysalbinic acid has no irritating effect upon the rat buccal mucosa. The mechanism of lysalbinic acid to enhance absorption may be similar to that of other detergent enhancers (sodium dodecyl sulfate, bile salts, etc.) with paracellular routes being the major pathway through the buccal epithelium.

#### 3.3.3. Nasal

The nasal route has been actively investigated in the last few years for IFN. However, the absorption of IFN by the nasal route was deemed poor due to many factors, such as the loss of administered drug into the throat, instability on the nasal mucosa due to pH and temperature, loss of activity on the nasal mucosa due to peptidase action, and binding of IFN to nasal mucosal cells. Therefore, the use of absorption enhancers seems necessary for significant absorption of IFN. Shim and Kim have compared the efficiency of several bile salt enhancers on the nasal absorption of IFN $\alpha$  in rats (Shim and Kim, 1993). Concentrations of 1% (w/w) of sodium cholate, sodium glycocholate, sodium taurocholate, sodium glycodeoxycholate, sodium taurodeoxycholate or polyoxyethylene-9-lauryl ether can improve the nasal bioavailability of IFN $\alpha$ . Of these bile salt enhancers, sodium taurocholate appeared to be less toxic and more effective in enhancing the nasal absorption of IFN $\alpha$  than other bile salt enhancers. Additionally, nasal bioavailability of IFN $\alpha$  was increased up to 32.3% by the addition of 1% sodium taurocholate. Therefore, sodium taurocholate seemed to be a potential candidate for a nasal absorption enhancer of IFN $\alpha$ . The major mechanism of bile salts in absorption enhancement is believed to be due to the disturbance of the nasal membrane integrity while hydrophobicity of the bile salts may not be an important factor to enhance the nasal absorption of IFN $\alpha$ .

#### 3.3.4. Pulmonary

The pulmonary route would seem to be a promising alternative for delivering IFN $\alpha$  due to the large surface area of the alveolar epithelium and the short distance of the air to blood pathway from the lung. Yamada et al. examined the effects of chitosan and chitosan oligomers on the pulmonary absorption of IFN $\alpha$  by means of an *in vivo* pulmonary absorption experiment in rats (Yamada et al., 2005). They found that the bioavailability of IFN $\alpha$  in rats after pulmonary administration without chitosan was 6.9% of the amount when compared with that of an intramuscular injection. Therefore, some absorption enhancers are needed to enhance the pulmonary absorption of IFN $\alpha$  from the lung. In this study chitosan oligomers used as absorption enhancers, show a significant increase in bioavailability of IFN $\alpha$ , especially chitosan hexamer. The area under curve (AUC) of IFN $\alpha$  with chitosan hexamer increased 2.6-fold as compared with the control, and the bioavailability of IFN $\alpha$  was increased up to 17.8% compared with the intramuscular injection by the addition of 0.5% (w/v). However, chitosan polymers with high molecular weights (22–96 kDa) were not effective as absorption enhancers for the pulmonary delivery of IFN $\alpha$  due to their low solubility in water. Interestingly, chitosan oligomers did not cause any membrane damage to the rat pulmonary tissues, as determined by leakage of protein and lactate dehydrogenase (LDH) in bronchoalveolar lavage (BAL) fluid. However, the mechanisms for enhanced pulmonary absorption of IFN $\alpha$  by chitosan oligomers are not fully understood. It might be possible that chitosan oligomers may enhance the absorption of IFN $\alpha$  from the lung through a paracellular pathway, and inhibit the proteolytic degradation of IFN $\alpha$  in the lung.

More recently, Dumont et al. have developed IFN $\alpha$ -Fc fusions by conjugation of IFN $\alpha$  to the Fc fragment of IgG1 (an FcRn-binding ligand) to deliver via pulmonary route, because FcRn (the neonatal Fc receptor) is expressed in several absorptive epithelial tissues, including the lung (Dumont et al., 2006). They found that the *in vitro* antiviral activity of the IFN $\alpha$ -Fc monomer (a single molecule of IFN $\alpha$  linked to dimeric Fc) showed a significant increase efficacy compared with the dimer (two molecules of IFN $\alpha$  joined to dimeric Fc). In addition, the bioactivity of IFN $\alpha$ -Fc fusions was dependent on the length of the linker between the IFN $\alpha$  and Fc regions. Increasing the length of the linker (ranging from 0 to 15 amino acids) resulted in an increase in specific activity, and steric hinderance was reduced



for the dimer by the inclusion of a long linker. A pharmacokinetic study in the monkey of the IFN $\alpha$ -Fc dimer showed good transport properties with a pulmonary bioavailability of 50% compared to an intravenous administration, with a half-life that was approximately 20 times longer than the unconjugated IFN $\alpha$ . In the case of IFN $\alpha$ -Fc monomer, the pharmacokinetic parameters (i.e.,  $C_{max}$ , AUC, and  $t_{1/2}$ ) were similar to the dimer after inhalation of the same dose, irrespective of linker length.

### 3.3.5. Oral

Development of oral formulation of IFN would greatly expand its clinical application. However, bioavailability of oral IFN has been known to be low. Shim and Kim have examined the mechanism of the low oral bioavailability of IFN $\alpha$  and found that only about 40% of IFN $\alpha$  absorbed from the gastrointestinal lumen can reach the systemic circulation due to proteolysis by pancreatic enzymes such as trypsin and chymotrypsin, and the large molecular size of IFN $\alpha$ . Moreover, IFN $\alpha$  is also extracted by the GI mucosa and the liver (Shim and Kim, 1993).

More recently, Nautilus Biotech has formulated lyophilized Belerofon together with inactive ingredients to produce enteric-coated tablets for oral administration (Table 5). Molecular units of oral Belerofon are the same as units of subcutaneous Belerofon (a single point amino acid mutation of native IFN $\alpha$ , designed for higher resistance to proteolysis) (Drittanti et al., 2007). Oral bioavailability of Belerofon is based on its low sensitivity to protease degradation in the intestine which renders the molecule available for absorption into the bloodstream. In animal studies, appropriate oral doses of Belerofon can reach blood levels comparable to those obtained from subcutaneous injection of native IFN $\alpha$ . Furthermore, antiviral

activity of Belerofon in rats after oral administration is significantly superior to that of native IFN $\alpha$  (Martin, 2006). Consequently, a clinical phase 1 study on Oral Belerofon will be initiated in the USA to evaluate oral Belerofon in healthy adult subjects for safety, tolerability and pharmacokinetics.

The relative benefits or limitation for various delivery systems for IFN $\alpha$ , are summarized in Table 6. Much work is still required for any of these listed systems to reach the patient populations as only Belerofon (Subcutaneous injection and the enterically coated oral formulation), Albuferon, and microsphere formulations are currently in Clinical Phase Studies, as indicated in Table 5.

## 4. Conclusion

The standard treatment of hepatitis C is with a course of IFN $\alpha$ , however, frequent injections and undesirable side effects can result. New research focuses on the development of novel modified interferon molecules which demonstrate reduced side effects and extended systemic circulation time, which can ultimately provide greater efficacy. Alternative routes for IFN $\alpha$  delivery, such as oral delivery, demonstrate challenging but promising areas of research for improving future patient compliance.

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**Table 6**

A comparison of IFN $\alpha$  delivery systems.

Delivery systems	Benefits	Limitations
Modified IFN $\alpha$ molecules	Long-acting of IFN $\alpha$	Decrease antiviral activity of IFN $\alpha$
<ul style="list-style-type: none"> <li>• Chemical conjugation               <ul style="list-style-type: none"> <li>◦ PEGylation</li> <li>◦ Polysialylation</li> <li>◦ Pullulan conjugation</li> </ul> </li> <li>• Genetic modification</li> </ul>	Minimize side effects	Technically challenging to produce
<ul style="list-style-type: none"> <li>◦ Albuferon</li> <li>◦ Belerofon</li> <li>◦ IFN<math>\alpha</math>-2b-AGPs</li> </ul>	Remain high or has similar antiviral activity of IFN $\alpha$	
Sustained release injectables	Sustained therapeutic level	
<ul style="list-style-type: none"> <li>• Liposomes</li> <li>• Microspheres</li> </ul>	Minimizes potential side effects	Physical stability issues
<ul style="list-style-type: none"> <li>• Injectable carrier</li> <li>• Minipellet and implants</li> </ul>		Loss of IFN $\alpha$ activity Size limitation Biocompatibility issues
Alternative routes	Non-invasive	Lower bioavailability
<ul style="list-style-type: none"> <li>• Transdermal</li> <li>• Buccal</li> </ul>	More convenient	Technically challenging to produce (e.g., iontophoresis, microporation)
<ul style="list-style-type: none"> <li>• Nasal</li> <li>• Pulmonary</li> </ul>		Require absorption enhancers
<ul style="list-style-type: none"> <li>• Oral</li> </ul>		

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